

Polyhedral results for the Equitable Coloring Problem

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Classic coloring

Let $G = (V, E)$. A k -coloring is a partition of V in C_1, C_2, \dots, C_k such that:

- $uv \in E, u \in C_j \implies v \notin C_j$

Equitable coloring

A k -eqcol is a k -coloring such that:

- $||C_i| - |C_j|| \leq 1 \quad \forall i, j = 1, \dots, k$

Equitable chromatic number

$\chi_{eq}(G) = \min\{k : G \text{ admits a } k\text{-eqcol}\}$

- ECP consists in finding $\chi_{eq}(G)$.

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A bit of history about ECP...

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- Definition and first results [Meyer (1973)]
- Applications:
 - Municipal garbage collection service [Tucker 1973]
 - Traffic signal control [Irani, Leung (1996)]
 - Parallel memory systems [Das, Finocchi, Petreschi (2006)]
- ECP is NP-Hard [Kubale, Furmanczyk (2005)]
- IP model and C&B [Méndez-Díaz, Nasini, S.- (Alio/Euro 2008)]
- B&C- LF_2 based on *asymmetric representatives* model [Bahense, Frota, Maculan, Noronha, Ribeiro (LAGOS 2009)]
- Tabu search and B&C based on clique inequalities [Méndez-Díaz, Nasini, S.- (INFORMS 2010)]

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 - 5 families of valid inequalities
- Computational results
 - Comparison between B&C
 - with clique inequalities
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IP model for classic coloring [Méndez-Díaz, Zabala (2006)]

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$$x_{vj} = \begin{cases} 1 & \text{color } j \text{ is assigned to } v, \\ 0 & \text{otherwise,} \end{cases} \quad w_j = \begin{cases} 1 & x_{vj} = 1 \text{ for some } v, \\ 0 & \text{otherwise.} \end{cases}$$

$$\min \sum_{j=1}^n w_j$$

$$\text{s.t. } \sum_{j=1}^n x_{vj} = 1,$$

$$x_{uj} + x_{vj} \leq w_j,$$

$$x_{vj} \leq w_j,$$

$$w_{j+1} \leq w_j,$$

$$\forall v \in V$$

$$\forall uv \in E, j = 1, \dots, n$$

$$\forall v \text{ isolated}, j = 1, \dots, n$$

$$\forall j = 1, \dots, n-1$$

Coloring polytope

$\mathcal{CP} =$ convex hull of colorings of G

IP model for classic coloring [Méndez-Díaz, Zabala (2006)]

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IP model for ECP [Méndez-Díaz, Nasini, S.- (2009)]

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$$\begin{aligned} \min \quad & \sum_{j=1}^n w_j \\ \text{s. t.} \quad & \sum_{j=1}^n x_{vj} = 1, & \forall v \in V \\ & x_{uj} + x_{vj} \leq w_j, & \forall uv \in E, j = 1, \dots, n \\ & x_{vj} \leq w_j, & \forall v \text{ isolated, } j = 1, \dots, n \\ & w_{j+1} \leq w_j, & \forall j = 1, \dots, n-1 \\ & \sum_{v \in V} x_{vj} \geq w_n + \sum_{k=j}^{n-1} \left\lfloor \frac{n}{k} \right\rfloor (w_k - w_{k+1}), & \forall j = 1, \dots, n-1 \\ & \sum_{v \in V} x_{vj} \leq w_n + \sum_{k=j}^{n-1} \left\lceil \frac{n}{k} \right\rceil (w_k - w_{k+1}), & \forall j = 1, \dots, n-1 \end{aligned}$$

Equitable coloring polytope

$\mathcal{ECP} =$ convex hull of equitable colorings of G

$\mathcal{A}(G) = \{k : G \text{ does not admit any } k\text{-eqcol}\}$

- Example: $K_{3,3}$ only admits 2,4,5 and 6-eqcols
 $\longrightarrow \mathcal{A}(K_{3,3}) = \{1, 3\}$

Dimension of \mathcal{ECP}

$\dim(\mathcal{ECP}) = n^2 - (|\mathcal{A}(G)| + 2)$

- Example: $\dim(K_{3,3}) = 32$

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Clique inequalities

Let $j \leq n - 1$ and Q be maximal clique of G such that $|Q| \geq 2$. Then, the clique inequality

$$\sum_{v \in Q} x_{vj} \leq w_j,$$

defines a **facet** of \mathcal{ECP} .

Block inequalities

Let $v \in V$ and $j \leq n - 2$. Then, the block inequality

$$\sum_{k=j}^n x_{vk} \leq w_j,$$

is **valid** for \mathcal{ECP} . If $j - 1 \notin \mathcal{A}(G)$, it defines a **facet** of \mathcal{ECP} .

Known valid inequalities for \mathcal{CP}

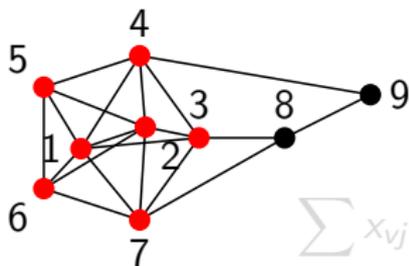
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$$j \leq n - 1$$

$$S \subset V \text{ with } \alpha(S) = 2$$

$$\sum_{v \in S} x_{vj} \leq 2w_j \text{ valid for } \mathcal{CP}$$

(S, Q) -2-rank inequalities

Let $Q = \{q : q \in S, S \subset N[q]\}$. The (S, Q) -2-rank inequality defined as

$$\sum_{v \in S \setminus Q} x_{vj} + 2 \sum_{v \in Q} x_{vj} \leq 2w_j,$$

is **valid** for \mathcal{ECP} .

Example: $Q = \{1, 2\}$

Known valid inequalities for \mathcal{CP}

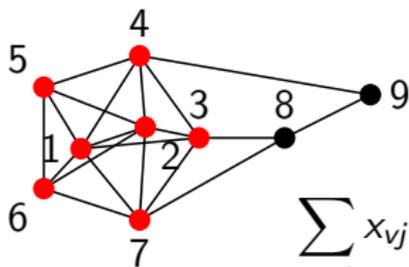
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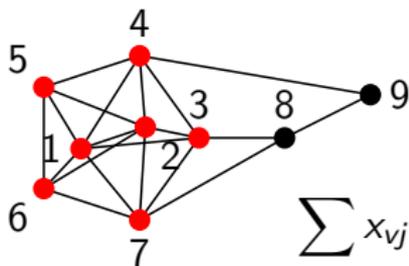
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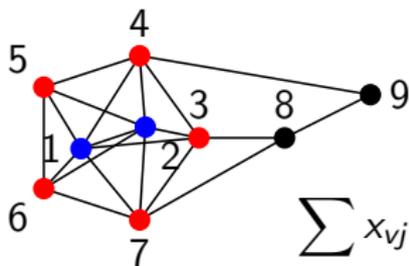
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(S, Q) -2-rank inequalities

The (S, Q) -2-rank inequality defines a **facet** of \mathcal{ECP} if:

- $|Q| \geq 2$
- no connected component of $\overline{G[S \setminus Q]}$ is bipartite
- $\forall v \in V \setminus S, Q \cup \{v\}$ is **not** a clique

Known valid inequalities for \mathcal{CP}

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- $j \leq \lceil n/2 \rceil - 1$
- $\forall v \in V \setminus S$ such that $Q \cup \{v\}$ is a clique, $\exists H$ such that:
 - H stable set with $|H| = 3$
 - $v \in H$
 - $|H \cap S| = 2$
 - n odd $\rightarrow \overline{G - H}$ has a perfect matching
 - n even $\rightarrow \exists$ another stable set H' such that:
 - $|H'| = 3$
 - $H \cap H' = \emptyset$
 - $\overline{G - (H \cup H')}$ has a perfect matching

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$$j \leq n - 1$$

$$u \in V$$

$S \subset N(u)$ not a clique

$$\alpha(S)x_{uj} + \sum_{v \in S} x_{vj} \leq \alpha(S)w_j \quad \text{valid for } \mathcal{CP}$$

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- in $\mathcal{ECP} \rightarrow |C_j| \leq \lceil n/j \rceil$
- $\gamma_{kS} = \min\{\lceil n/k \rceil, \alpha(S)\}$

Subneighborhood inequalities

The (u, j, S) -subneighborhood inequality defined as

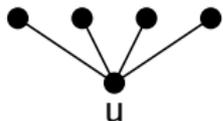
$$\gamma_{jS}x_{uj} + \sum_{v \in S} x_{vj} + \sum_{k=j+1}^n (\gamma_{jS} - \gamma_{kS})x_{uk} \leq \gamma_{jS}w_j,$$

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$$\gamma_{jS}x_{uj} + \sum_{v \in S} x_{vj} + \sum_{k=j+1}^n (\gamma_{jS} - \gamma_{kS})x_{uk} \leq \gamma_{jS}w_j,$$

is **valid** for \mathcal{ECP} .

Known valid inequalities for \mathcal{CP}

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$$j \leq n - 1$$

$$u \in V$$

$S \subset N(u)$ not a clique

$$\alpha(S)x_{uj} + \sum_{v \in S} x_{vj} \leq \alpha(S)w_j \quad \text{valid for } \mathcal{CP}$$

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- in $\mathcal{ECP} \longrightarrow |C_j| \leq \lceil n/j \rceil$
- $\gamma_{kS} = \min\{\lceil n/k \rceil, \alpha(S)\}$

Subneighborhood inequalities

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New valid inequalities for \mathcal{ECP}

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$$j \leq \lfloor n/2 \rfloor$$

$u \in V$ not universal in G

$N(u)$ not a clique

we focus on $V \setminus N[u]$

- $\forall j$ -eqcol $\longrightarrow |C_j| \geq \lfloor n/j \rfloor$
- $x_{uj} = 1 \longrightarrow \lfloor n/j \rfloor - 1$ vertices of $V \setminus N[u]$ uses color j

$$(\lfloor n/j \rfloor - 1)x_{uj} - \sum_{v \in V \setminus N[u]} x_{vj} \leq 0 \quad \text{valid for } j\text{-eqcols}$$

Outside-neighborhood inequalities

The (u, j) -*outside-neighborhood inequality* defined as

$$(\lfloor n/j \rfloor - 1)x_{uj} - \sum_{v \in V \setminus N[u]} x_{vj} + \sum_{k=j+1}^n b_{jk} x_{uk} \leq \sum_{k=j+1}^n b_{jk} (w_k - w_{k+1}),$$

where $b_{jk} = \lfloor n/j \rfloor - \lfloor n/k \rfloor$, is **valid** for \mathcal{ECP} .

New valid inequalities for \mathcal{ECP}

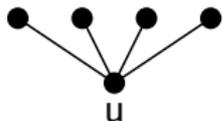
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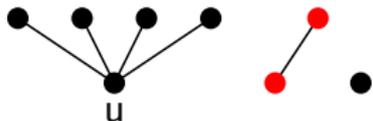
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j, k such that $j \leq k \leq n - 2$

$u \in V$

$N(u)$ not a clique

Q clique such that $Q \cap N[u] = \emptyset$

- following the same reasoning as in the previous cases:

Clique-neighborhood inequalities

The (u, j, k, Q) -clique-neighborhood inequality defined as

$$\begin{aligned}
 & (\lceil n/k \rceil - 1)x_{uj} + \sum_{v \in N(u) \cup Q} x_{vj} + \sum_{l=k+1}^n (\lceil n/k \rceil - \lceil n/l \rceil)x_{ul} + \sum_{v \in V} x_{vn-1} + \sum_{v \in V \setminus \{u\}} x_{vn} \\
 & \leq \sum_{l=j}^{k-1} b_{ul}(w_l - w_{l+1}) + \sum_{l=k}^{n-2} \lceil n/k \rceil (w_l - w_{l+1}) + \sum_{l=n-1}^n (\lceil n/k \rceil + 1)(w_l - w_{l+1}),
 \end{aligned}$$

where $b_{ul} = \min\{\lceil n/l \rceil, \alpha(N(u)) + 1\}$, is **valid** for \mathcal{ECP} .

New valid inequalities

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- S = set of colors
- $k \leq n$
- $d_{Sk} = |S \cap \{1, \dots, k\}|$ (available colors in a k -eqcol)
- $b_{Sk} = d_{Sk} \lfloor \frac{n}{k} \rfloor + \min\{d_{Sk}, n - k \lfloor \frac{n}{k} \rfloor\}$

$$\sum_{j \in S} \sum_{v \in V} x_{vj} \leq b_{Sk} \quad \text{valid for } k\text{-eqcols}$$

S -color inequalities

The S -color inequality defined as

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- For every family of valid inequalities presented previously:
 - Sufficient conditions in order to be facet-defining inequalities.
 - If \mathcal{F} = face defined by the inequality,
 $\dim(\mathcal{F}) = o(n^2) = o(\dim(\mathcal{ECP}))$
 - Separation routines:
 - Heuristics:
 - Clique ineq.
 - (S, Q) -2-rank ineq.
 - S -color ineq.
 - Enumeration:
 - Block ineq.
 - $(u, j, N(u))$ -subneighborhood ineq.
 - (u, j) -outside-neighborhood ineq.
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- Comparison between:
 - BC^+ = B&C with all inequalities
 - BC = B&C with only clique inequalities
[Méndez-Díaz, Nasini, S.- (2010)]
 - CPX = CPLEX with default parameters
 - LF_2 = B&C based on *asymmetric representatives*
[Bahense, Frota, Maculan, Noronha, Ribeiro (2009)]
- 50 instances of 70 vertices
- 2 hours time limit

% dens.	% solved inst.				Nodes (average)				Time sec. (average)			
	BC^+	BC	CPX	LF_2	BC^+	BC	CPX	LF_2	BC^+	BC	CPX	LF_2
10	100	100	100	100	3.4	4	13.3	57	0.3	0.3	4	109
30	90	90	0	0	2135	3949	–	–	276	224	–	–
50	70	70	0	0	7932	21595	–	–	1354	2145	–	–
70	80	80	10	100	525	2970	214	678	128	446	4380	273
90	100	100	100	100	5.1	14.5	30	9.4	2.6	2.8	29	11

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THANKS!