

A Polyhedral Approach for the Graph Equitable Coloring Problem*

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Introduction

Standard coloring

Let $G = (V, E)$ be a simple graph. A k -coloring is a partition C_1, C_2, \dots, C_k of V such that:

- $u, v \in C_j \implies uv \notin E \quad \forall j = 1, \dots, k$

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Equitable coloring

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Equitable chromatic number

$\chi_{eq}(G) = \min\{k : G \text{ admits a } k\text{-eqcol}\}$

- Find $\chi_{eq}(G)$ is **NP-Hard** [Furmanczyk H., Kubale M. (2005)].

Coloring vs. Equitable coloring

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Set of admissible equitable colorings

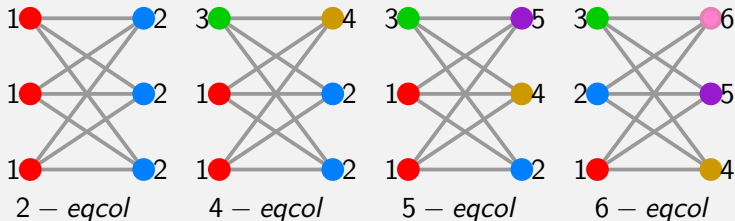
$$\mathcal{A}(G) = \{k : G \text{ admits a } k\text{-eqcol}\}$$

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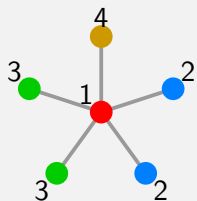
$$\mathcal{A}(K_{3,3}) = \{2, 4, 5, 6\}$$

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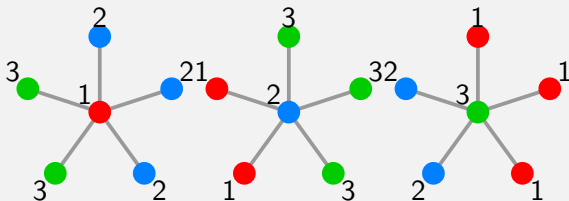
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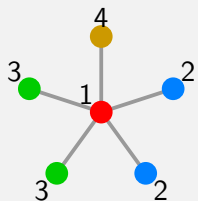
$$\chi_{eq}(K_{1,5}) = 4$$



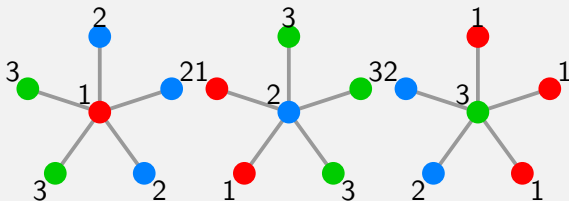
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- We cannot restrict ourselves to connected graphs as in the case of standard coloring.

Goals and Results

Goals:

- Formulate an IP model for the equitable coloring problem.
- Study its polyhedral structure.
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In this work:

- Standard coloring problem + *equity constraints*.
- First polyhedral results.
- Preliminary computational experiences.

IP Model for the standard coloring problem

[Mendez Diaz I. and Zabala P. (2005)]

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$$x_{vj} = \begin{cases} 1 & \text{color } j \text{ is assigned to } v, \\ 0 & \text{otherwise,} \end{cases} \quad w_j = \begin{cases} 1 & x_{vj} = 1 \text{ for some } v, \\ 0 & \text{otherwise.} \end{cases}$$

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(x, w) coloring \leftrightarrow (x, w) binary and satisfies :

$$\sum_{j=1}^n x_{vj} = 1, \quad \forall v \in V \quad (1)$$

$$x_{uj} + x_{vj} \leq w_j, \quad \forall uv \in E, j = 1, \dots, n \quad (2)$$

$$w_{j+1} \leq w_j, \quad \forall j = 1, \dots, n-1 \quad (3)$$

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- $\mathcal{CP} = \text{conv} \{ (x, w) : (x, w) \text{ coloring} \}$
- $\chi(G) = \min \{ w_1 + w_2 + \dots + w_n : (x, w) \in \mathcal{CP} \}$

Equity constraints

- Size of color classes: $|C_j| = \sum_{v \in V} x_{vj}$

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- Linearize “ $w_i = w_j = 1 \implies -1 \leq |C_i| - |C_j| \leq 1$ ”

$$-1 - M(2 - w_i - w_j) \leq \sum_{v=1}^n x_{vi} - \sum_{v=1}^n x_{vj} \leq 1 + M(2 - w_i - w_j) \quad \forall i, j \quad (1)$$

[Bahense et al. (2007)]

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- Our first proposal: “ $w_j = 1 \implies y \leq |C_j| \leq y + 1$ ”

$$y + M(1 - w_j) \leq \sum_{v=1}^n x_{vj} \leq y + 1 \quad \forall j \quad (2)$$

Equity constraints

Lemma

If $|C_j| \geq |C_{j+1}|$ in a k -eqcol, then $|C_j|$ is uniquely determined by n and k .

$$|C_j| = \begin{cases} \lfloor n/k \rfloor + 1, & j = 1, \dots, (n \bmod k) \\ \lfloor n/k \rfloor, & j = (n \bmod k) + 1, \dots, k \\ 0 & j = k + 1, \dots, n. \end{cases}$$

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$$(t_k^j) = |C_j| = \begin{cases} \lfloor n/k \rfloor + 1, & j = 1, \dots, (n \bmod k) \\ \lfloor n/k \rfloor, & j = (n \bmod k) + 1, \dots, k \\ 0 & j = k + 1, \dots, n. \end{cases}$$

Proposition

(x, w) eqcol (such that $|C_j| \geq |C_{j+1}|$) \Leftrightarrow (x, w) coloring such that:

$$\sum_{v \in V} x_{vj} = \sum_{k=2}^n (t_k^j - t_{k-1}^j) w_k \quad (3)$$

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$$\mathcal{ECP} = \text{conv} \{ (x, w) : (x, w) \text{ eqcol} \}$$

$$\chi_{\text{eq}}(G) = \min \{ w_1 + w_2 + \dots + w_n : (x, w) \in \mathcal{ECP} \}$$

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Dimension of the equitable coloring polytope

Proposition

$$\dim(\mathcal{ECP}) = n^2 - 2n + |\mathcal{A}(G)|$$

System of equalities:

$$\sum_{j=1}^n x_{vj} = 1, \quad \forall v \in V$$

$$\sum_{v \in V} x_{vj} = \sum_{k=2}^n (t_k^j - t_{k-1}^j) w_k, \quad \forall j = 2, \dots, n$$

$$w_{\chi_{\text{eq}}(G)} = 1,$$

$$w_k = w_{k+1}, \quad \forall k \notin \mathcal{A}(G)$$

Some facets of \mathcal{CP} and \mathcal{ECP}

- $\mathcal{ECP} \subset \mathcal{CP}$.
- (π, π_0) facet-defining in $\mathcal{CP} \Rightarrow (\pi, \pi_0)$ valid inequality of \mathcal{ECP} .

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Facets of \mathcal{CP}	Facets of \mathcal{ECP}
$w_{j+1} \leq w_j$	$w_{j+1} \leq w_j$
$x_{vj} \geq 0$	$x_{vj} \geq \sum_{k \in \mathcal{K}_1} (w_k - w_{k+1})$
(clique) $\sum_{v \in Q} x_{vj} \leq w_j$	$\sum_{v \in Q} x_{vj} \leq w_j - \sum_{k \in \mathcal{K}_2} (w_k - w_{k+1})$
(block) $\sum_{j=j_0}^n x_{vj} \leq w_{j_0}$	$\sum_{j=j_0}^n x_{vj} \leq w_{j_0} - \sum_{k \in \mathcal{K}_3} (w_k - w_{k+1})$

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- Frequently, $\mathcal{K}_1 = \mathcal{K}_2 = \mathcal{K}_3 = \emptyset$.

Example: Clique inequalities

EqClique inequality

Q maximal clique, $|Q| \geq 2$, $j \leq \lfloor n/2 \rfloor$

$$\sum_{v \in Q} x_{vj} \leq w_j - \sum_{k \in \mathcal{H}_2} (w_k - w_{k+1})$$

$$\mathcal{H}_2 = \{k \in \mathcal{A}(G) : k \geq j, \forall k - \text{eqcols} : Q \cap C_j = \emptyset\}$$

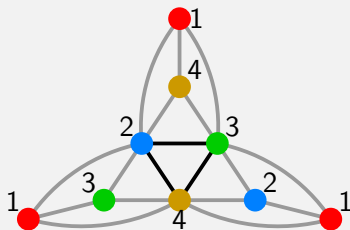
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- No vertex of Q can use color 1 in a 4-eqcol $\implies \mathcal{K}_2 = \{4\}$.

Testing clique cuts

- C & B: Generates clique cuts at the root node.
- Testbed: Over 230 random graphs (15-30 vertices)
 - ★ low density = 0-33% of edges
 - ★ medium density = 33-66% of edges
 - ★ high density = 66-100% of edges
- Compare C & B with pure B & B:
 - ★ evaluated nodes
 - ★ elapsed time
- Optimizer: CPLEX 10.1
- An instance is solved \Leftrightarrow *time* < 2hs.

Testing clique cuts

n	Dens.	% of solv. inst.		Evaluated nodes		Time in sec.	
		B & B	C & B	B & B	C & B	B & B	C & B
20	low	100	100	22	5	0	0
	med.	100	100	957	70	7	3
	high	100	100	515	16	5	2
25	low	100	100	119	34	3	2
	med.	87	100	10082	520	369	58
	high	50	90	25471	41	591	20
30	low	100	100	91	105	6	6
	med.	22	78	499	39	43	28
	high	0	33	—	553*	—	424*
35	low	100	100	397	140	51	26
	med.	22	33	10724	2254	2520	611
	high	0	13	—	52*	—	181*

Further works

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- Identify new families of facets in \mathcal{ECP} .
- Study the behaviour of facets in \mathcal{ECP} as cuts.

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